



**United Nations Development Programme**  
**Country: Malaysia**  
**Project Document**

<b>Project Title</b>	<b>INSTITUTIONAL SUPPORT FOR THE DEVELOPMENT OF THE 11<sup>TH</sup> MALAYSIA PLAN: 2016-2020</b>
<b>UNDAF Outcome(s):</b>	Not applicable
<b>Expected CP Outcome(s):</b>	<p><b>Outcome #1:</b> (a) A new national policy framework developed to promote inclusive growth and sustainable human development policies and strategies; (b) The bottom 40% of households receive better access to education, health and social protection programmes and secure greater benefits through better targeting from new inclusive growth policies and strategies.</p> <p><b>Outcome #2:</b> Strengthened institutional capacity in managing climate change, and an enhanced national framework for biodiversity management of the central forest spine in Peninsular Malaysia and the heart of Borneo.</p>
<b>Expected Output(s):</b>	<p><i>Output 1: Support to 11<sup>th</sup> Malaysia Plan's Inter-Agency Planning Groups and Technical Working Groups</i></p> <p><i>Output 2: Support for the Preparation of 11<sup>th</sup> Malaysia Plan's Strategy Papers</i></p> <p><i>Output 3: Benchmarking International Best Practices and Trends</i></p> <p><i>Output 4: Capacity Building and Institutional Strengthening</i></p>
<b>Executing Entity:</b>	Economic Planning Unit
<b>Implementing Agency:</b>	Economic Planning Unit

**Brief Description**

At the request of the Economic Planning Unit (EPU) and in line with para 4.2 of the Country Programme Action Plan, the project will support the development of the 11<sup>th</sup> Malaysia Plan: 2016-2020 (11MP). Specifically, through this umbrella project, UNDP will provide technical and policy advisory support through its direct engagement in the 11MP's Inter-Agency Planning Groups and Technical Working Groups and support the preparation of relevant Strategy Papers as inputs into the 11MP; organize related National Consultations and Focus Group Discussions around core issues to be addressed by the Plan; and organize relevant capacity building of EPU and line ministries as required in the preparation of the 11MP Report. The project's priority will be to strengthen the evidence base, analysis, design and prioritization of the medium to long term strategies and enable inclusive and sustainable national development policies and implementation programmes to be formulated and prioritized through the 11MP.



Programme Period: 2014

Key Result Area (UNDP Strategic Plan (2014-2017))

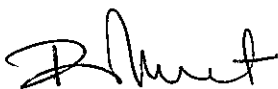
Output 7.3: National development plans to address poverty and inequality are sustainable and risk resilient

Atlas Award ID:  
Project ID:

Start date: 17 March 2014  
End Date: 31 December 2014

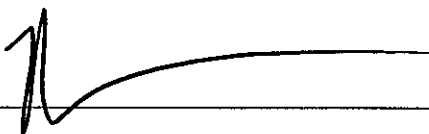
Management Arrangements: NIM

2014 AWP budget:	USD 500,000
<b>Total resources required:</b>	<b>USD 500,000</b>
Total allocated resources:	
UNDP managed fund:	
TRAC	USD 100,000
Government CS	USD 400,000
GMS 6%	USD 24,000
<b>Total</b>	<b>USD 524,000</b>
Government of Malaysia (GoM):	
In-kind Contribution	USD 100,000

Agreed by (Government of Malaysia): 

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Datuk Dr. Rahmat Bivi Yusoff  
Director General  
Economic Planning Unit of the Prime Minister's Department

Agreed by (UNDP): 

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Michelle Gyles-McDonough  
Resident Representative  
United Nations Development Programme

20 MAR 2014



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**ACRONYMS AND ABBREVIATION**

<b>10MP</b>	10 <sup>th</sup> Malaysia Plan: 2011-2015
<b>11MP</b>	11 <sup>th</sup> Malaysia Plan: 2016-2020
<b>CPAP</b>	Country Programme Action Plan
<b>EPU</b>	Economic Planning Unit
<b>TOR</b>	Terms of Reference
<b>UNDP</b>	United Nations Development Programme

# SITUATION ANALYSIS

## 1. Introduction

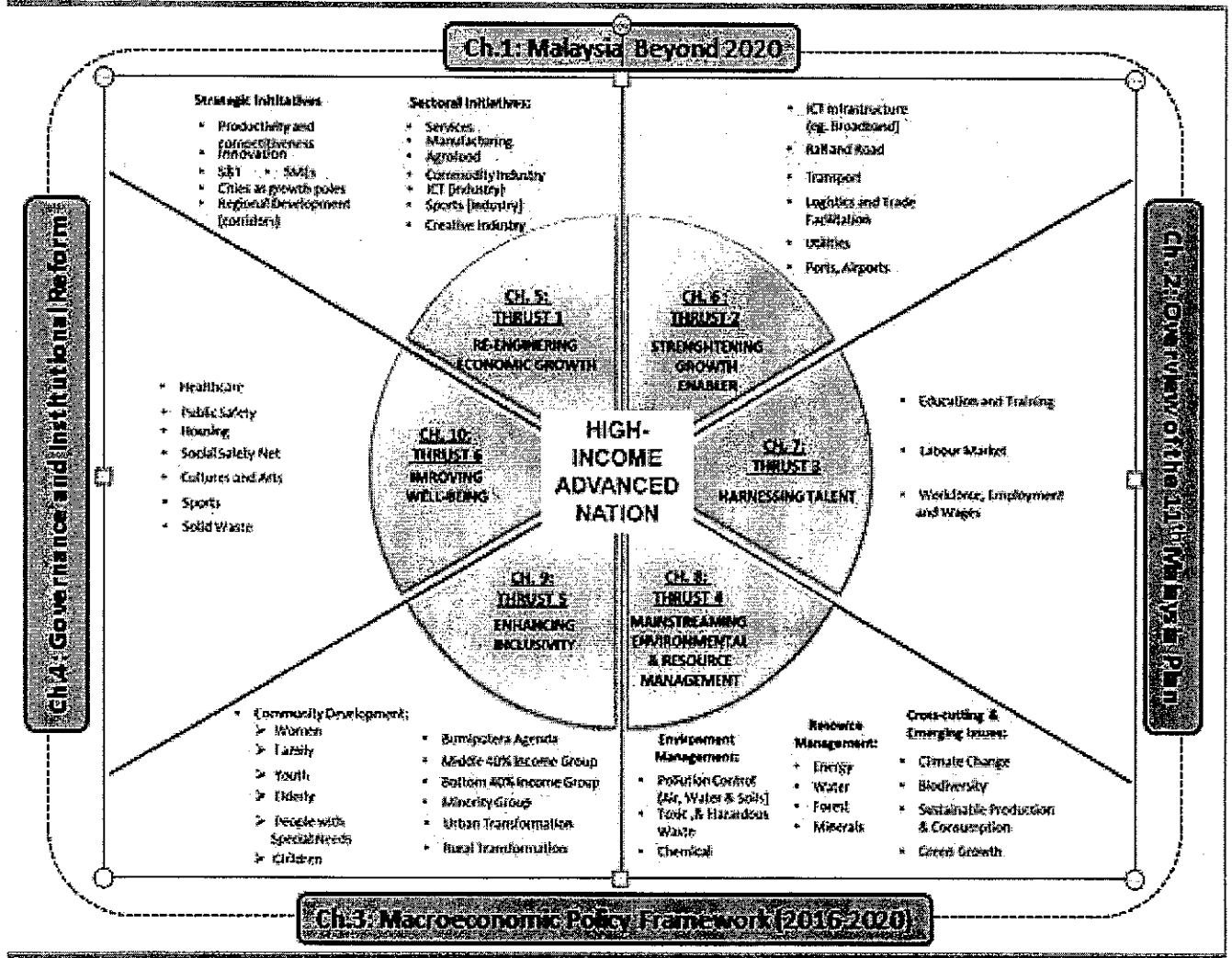
The Government of Malaysia will embark upon its 11<sup>th</sup> Malaysia Plan for 2016-2020 (11MP), which is scheduled to be presented to the Parliament prior to the public launch. The preparatory work for the 11MP commenced with the Circular from the Chief Secretary of the Government to all Ministries and agencies on 29 October 2013. The 11MP will form the last phase of the 5 year development plans for the country to achieve its aspirations of an advanced and high income economy by 2020.

To achieve this development aspiration, the framework of the 11MP encompasses 6 thrusts:

- Thrust 1: Re-engineering economic growth
- Thrust 2: Strengthening growth enablers
- Thrust 3: Harnessing talent
- Thrust 4: Mainstreaming environment and resources management
- Thrust 5: Enhancing inclusivity
- Thrust 6: Improving well-being

The 11MP document will comprise of 2 public documents, the *Main Document* and relevant *Strategy Papers* for the 6 Thrusts. The *Main Document* will outline the overarching policies and strategies in the 11MP, aimed at accelerating transformation, whereas the *Strategy Papers* will provide the details of policies, strategies and programmes outlined in the *Main Document*. In line with this, the Government have established Inter-Agency Planning Groups (IAPG) and Technical Working Groups (TWGs) for the respective Thrusts.

The framework of the 11MP is outlined below.



## **2. UNDP's Previous Support to Government of Malaysia's 5 Year Development Plans**

UNDP Malaysia's engagement with the Government on the 5 year plans dates back to the *First Malaysia Plan: 1966-1970*, where it supported the Government of Malaysia in the implementation of its 5 year development plan by providing technical assistance with a focus on strengthening the institutional and organisational frameworks and enhancing the institutional capacities of the Malaysian public service through customised and focused training and workshops.

Since the beginning of the 10<sup>th</sup> Malaysia Plan: 2011-2015 (10MP), all projects implemented or under implementation by UNDP Malaysia's have been selected, designed and operationalised with EPU and key Ministries with the specific purpose of assessing the success and implementation challenges of the previous 5 year development plans; supporting the analysis, design and monitoring of the 10MP, and to be forward looking by generating specific concrete policy recommendations and options for review and consideration in the 11MP. In addition, policy analysis and recommendations generated for EPU and Ministries were also contextualised by international and regional best practices and trends, as well as Malaysia's international commitments under various conventions that Malaysia has signed and ratified.

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## **II. STRATEGY**

### **2.1 Overview**

In line with the Country Programme Action Plan 2013-2015 signed with the Government of Malaysia, through this project, UNDP will provide technical and policy advisory support to strengthen the analysis, design and prioritization of the medium to long term strategies and to enable inclusive and sustainable national development policies to be formulated and prioritized through the 11<sup>th</sup> Malaysia Plan: 2016-2020. In addition, the project will seek to strengthen the institutional capacities of senior policymakers by organising international policy dialogues for global benchmarking and trends analysis, as well as national capacity building and advocacy workshops for the teams in EPU and line ministries supporting the preparation of the 11MP.

### **2.2 UNDP's Technical Team**

UNDP's technical and institutional support for the preparation and the development of the 11MP will build and leverage upon the UNDP Malaysia's national programme portfolio and its strong policy engagement with EPU, ministries, State Economic Planning Units, academia, private sector and civil society organisations.

In addition, UNDP Malaysia will also complement its country team with UNDP policy advisors, technical experts, and specialists in the UNDP *Asia Pacific Regional Centre* based in Bangkok, UNDP *Global Centre for Public Service Excellence* based in Singapore and UNDP *Bureau for Development Policy* based in New York to guarantee both breadth and depth of scope in its support to EPU in the preparation and the development of the 11MP.

### **2.3 UNDP's Engagement with the IAPG and TWG**

As of February 2014, UNDP has been invited as members of the following Thrusts:

- Thrust 4: Mainstreaming environment and resources management: *Member of IAPG and 4 TWGs;*
- Thrust 5: Enhancing inclusivity: *Member of IAPG and TWG (Inclusive Growth);*
- Thrust 6: Improving well-being: *Member of TWG (Housing);*
- Chapter 4: Governance and Institutional Reform: *Member of TWG (Rationalization of the Public Sector).*



## 2.4 Thematic Focus of UNDP's Institutional Support for the Development of 11MP

In line with the thematic scope outlined in the Country Programme Action Plan 2013-2015, UNDP's technical and policy advisory support will be focused primarily on the following thematic areas and objectives:

### 1) Enhancing Inclusive Growth

To enable the national development agenda to systematically move towards an inclusive socio-economic development paradigm and improve the livelihoods of the bottom 40% of households, UNDP's technical assistance and policy development support will seek to provide support in sustaining and scaling up national achievements in mainstreaming the human rights-based approach to development and gender equality in the 11MP by providing inputs on:

1. Mainstreaming inclusive growth considerations in macro-planning frameworks, socio-economic models and policies to address the needs of the bottom 40% and middle 40% of the income group including vulnerable groups in urban settings;
2. Addressing socio-economic imbalances (with a focus on Sabah, Sarawak), and the needs of marginalized and disadvantaged populations (including Orang Asli in Peninsula Malaysia and persons with disabilities);
3. Empowering and advancing women's socio-economic progress;
4. Improving well-being, which encompasses all aspects of life including access to good-quality economic opportunities, education, infrastructure, housing, and health;
5. Improving institutional arrangements for innovative and inclusive outcome-based monitoring and evaluation frameworks.

### 2) Mainstreaming environment and resources management

To support the national development priority of establishing a climate resilient growth strategy and ensuring the equitable and sustainable use of environmental resources, UNDP's technical assistance will seek to contribute to the 11MP by providing inputs on:

- 1) Ensuring integrated biodiversity and climate change management, conservation and sustainable utilization of natural resources address the needs of women, indigenous and local communities, and poor and vulnerable groups and are in line with the global Aichi targets on biodiversity, United Nations Framework Convention on Climate Change and United Nations Conference on Sustainable Development;
- 2) Addressing the impacts of climate change, with the aim of achieving sustainable development by establishing stronger linkages to the critical science and policy interface;
- 3) Strengthening the poverty-environment nexus in national policies;
- 4) Increasing use of renewable energy and strengthening the implementation of energy efficient practices;
- 5) Strengthening the existing framework on sustainable financing options for widespread green technology application through the low carbon cities initiatives and the effective management of biodiversity;
- 6) Strengthening national resilience in reducing risks of disasters through systemic organisational planning.

## 2.5 Project Outputs and Activities

The project will have four key Outputs and they are as follows:

- **Output 1: Support to 11<sup>th</sup> Malaysia Plan's Inter-Agency Planning Groups (IAPG) and Technical Working Groups (TWGs)**

Based on UNDP Malaysia's project portfolio from 2008-2014, UNDP's Technical Team will provide policy advisory services and support to the IAPG and TWG in fulfilling the tasks in its respective Term of Reference.

The findings, analysis and policy recommendations from the UNDP Malaysia projects portfolio (2008-2014), especially from a human development perspective and drawing on global and regional experiences, will form the core foundation of UNDP's technical support to the IAPGs and TWGs in identifying the issues, policies, strategies and programmes in the 11MP.

In addition, to strengthen evidence-based policy making, where required, the development and utilisation of relevant macro and sectoral models, scenario-planning analysis, comparative regional/global analysis as well as new statistical indicators and measures relevant to Malaysia's status as a Middle Income Country will be developed.

Throughout the process of engagement as outlined above, UNDP will extend a range of policy models as well as concrete policy recommendations and options emanating from UNDP Malaysia's project outputs which are derived from baseline data (including Geographical Information Systems mapping); targeted community perception surveys nationwide; thematic stakeholder dialogues with civil society organizations; and policy dialogues across Ministries and agencies and global benchmarking.

- **Output 2: Support to Preparation of 11<sup>th</sup> Malaysia Plan's Strategy Papers**

In line with the needs of the IAPG and TWG for *Strategy Papers*, at the request of EPU, UNDP will support the engagement of national/international experts and work jointly with the appointed experts in the analysis and development of the required *Strategy Papers* and ensuring a strong foundation of inclusive and sustainable human development approaches are applied and provided. In addition, dedicated technical 'write-shops' and close-door workshops will be organised to further refine the preparation of the *Strategy Papers* and to obtain feedback on the proposed policies, strategies and programmatic approaches in the 11MP.

- **Output 3: Benchmarking International Best Practices and Trends**

Leveraging upon UNDP's global network of policy experiences and high-level global experts, UNDP in close collaboration with EPU will organise a series of closed-door and public seminars for senior policymakers on thematic areas relevant to the 11MP. Emphasis will be placed on identifying, codifying and analysing the relevant regional and global best practices, trends and lessons learned and challenge in similar upper middle income economies from around the world (namely from Asia, Europe, Latin America, and Oceania). UNDP through its policy network will engage internationally renowned development thinkers, academics and senior policy practitioners to share their perspectives on the global and regional trends.

- **Output 4: Capacity Building and Institutional Strengthening**

In the final preparatory phase of the development of the 11MP Report, UNDP will design a customised training and capacities building workshop for selected staff of EPU with the aim of enhancing writing skills and visual presentation of development oriented information and enhance the advocacy of the key messages of the 11MP. The format and approach of the support will build upon the *Technical Writing Workshop* organised by UNDP Malaysia in September 2011 for 40 EPU staff on enhancing writing skills especially in preparation of policy reports, documents and other national and international development oriented publications.

### III. PROJECT BUDGET

Outcome/Atlas Activity[1]	Responsible Party	Fund ID	Donor Name	Atlas Budgetary Account Code	ATLAS Budget Description	Amount 2014 (USD)	Total (USD)
Output 1: Technical Support to 11 <sup>th</sup> Malaysia Plan's Inter-Agency Planning Groups and Technical Working Groups	UNDP and EPU	11888	TRAC – 04000	74500	UNDP Institutional Support	100,000	100,000
		<b>Subtotal</b>					
Output 2: Technical Support to Preparation of 11 <sup>th</sup> Malaysia Plan's Strategy Papers	UNDP and EPU	11888	CS – 00157	71300	Local Consultants	120,000	120,000
		11888	CS – 00157	75700	Workshop/Conference/Training	30,000	30,000
		<b>Subtotal</b>					
Output 3: Benchmarking International Best Practices and Trends	UNDP and EPU	00012	CS – 00157	71200	International Consultants (Including Travel)	130,000	130,000
		11888	CS – 00157	75700	Policy dialogues and stakeholder consultation workshops	50,000	50,000
		<b>Subtotal</b>					
Output 4: Capacity Building and Institutional Strengthening	UNDP and EPU	00012	CS – 00157	71300	International Consultants	50,000	50,000
		11888	CS – 00157	75700	Workshop/Conference/Training	20,000	20,000
		<b>Subtotal</b>					
<b>PROJECT GRAND TOTAL</b>						<b>500,000</b>	<b>500,000</b>
Government of Malaysia		11888	CS – 00157			400,000	400,000
UNDP		00012	TRAC – 04000			100,000	100,000
GMS 6% of Government Cost Sharing		11888	CS – 00157			24,000	24,000
<b>PROJECT TOTAL</b>						<b>524,000</b>	<b>524,000</b>

## ANNEX 1: TERMS OF REFERENCE OF UNDP'S ENGAGEMENT WITH THE INTER-AGENCY PLANNING GROUP AND TECHNICAL WORKING GROUPS

### Inter-Agency Planning Groups

- Evaluating the implementation performance of select development programmes and identifying issues and challenges in the 10MP;
- Framing the policies, strategies and new programmes to achieve the outcomes and targets of the 11MP;
- Preparing *Background Papers* for the consideration of the IAPG;
- Evaluating *Strategy Papers* including information and data provided by the Technical Working Groups;
- Ensuring policy coherence in terms of policies, objectives, strategies and programmes to avoid duplication and inconsistencies.

### Technical Working Groups

- Identifying and analyzing the effectiveness of policies and programmes and identifying issues, challenges and constraints in 10MP based on areas assigned by IAPG;
- Developing *Strategy Papers*;
- Ensuring inputs from Focus Group Discussions are consolidated into *Strategy Papers*.

**ANNEX 2: UNDP'S INSTITUTIONAL SUPPORT FOR THE DEVELOPMENT OF THE  
11TH MALAYSIA PLAN**

**PROJECT OUTPUTS AND ACTIVITIES: MARCH - DECEMBER 2014**

No	Intended Outputs	11 <sup>th</sup> Malaysia Plan Output Targets	Indicative Activity	Budget (USD)
1	Technical Support to 11 <sup>th</sup> Malaysia Plan's Inter-Agency Planning Groups and Technical Working Groups	UNDP Technical Team's support to the relevant IAPG and TWG on the following: 1. Relevant <i>Background Papers</i> ; 2. Final Relevant <i>Policy Papers</i> and <i>Strategy Papers</i> ;	UNDP's Technical Team (National, Regional Service Centre and Global Policy Advisors) will provide policy advisory services and support to the IAPG and TWG in fulfilling the following tasks. <ul style="list-style-type: none"> <li>▪ Substantive support in identifying and analyzing the effectiveness of policies and programmes and identifying issues, challenges and constraints in 10MP based on areas assigned by IAPG and TWGs;</li> <li>▪ Substantive support in preparing <i>Background Papers</i> for the consideration of the IAPG;</li> <li>▪ Substantive support in evaluating <i>Policy Papers</i> and <i>Strategy Papers</i> including information and data provided by the TWGs;</li> <li>▪ Substantive support in the framing the policies, strategies and new programmes to achieve the outcomes and targets of the 11MP;</li> <li>▪ Substantive support in ensuring policy coherence in terms of 11MP policies, objectives, strategies and programmes to avoid duplication and consistencies;</li> </ul> <p><i>Note: All costs allocated are to fund UNDP required support UNDP experts – national, Regional Service Centres and Global Policy Advisors).</i></p>	100,000

2	<p>Technical Support to Preparation of 11<sup>th</sup> Malaysia Plan's Policy Papers an Strategy Papers</p>	<p><i>Draft Policy Papers on:</i></p> <ol style="list-style-type: none"> <li>1. Green Growth</li> <li>2. Biodiversity, Environmental Management and Climate Change</li> <li>3. Natural Resources Management</li> <li>4. Energy</li> <li>5. Environmental Disaster Management</li> <li>6. Enhancing Inclusivity</li> </ol> <p><i>Draft Strategy Papers on:</i></p> <ol style="list-style-type: none"> <li>1. Management of Climate Change Impact (Mitigation &amp; Adaptation Actions)</li> <li>2. Sustainable Forest and Land Management</li> <li>3. Energy</li> <li>4. Green Growth</li> <li>5. Environmental Disaster Management</li> <li>6. Integrated Water Management</li> <li>7. Integrated Waste Management</li> <li>8. Marine and Coastal Management</li> <li>9. Chemical and Hazardous Substances Management</li> </ol>	<p>UNDP's Technical Team (National, Regional Service Centre and Global Policy Advisors) will provide policy advisory services and support to the IAPG and TWG in fulfilling the following tasks.</p> <ul style="list-style-type: none"> <li>▪ Identification and engagement of international/ national experts to prepare relevant <i>Policy Papers</i> and <i>Strategy Papers</i>;</li> <li>▪ Substantive support to appointed experts in the analysis and development of the required draft <i>Policy Papers</i> and <i>Strategy Papers</i>;</li> <li>▪ Collaborate with EPU in organising technical 'write-shops' and close-door workshops/ conferences for relevant <i>Draft Policy Papers</i> and <i>Draft Strategy Papers</i>;</li> <li>▪ Collaborate with EPU in organising relevant Focus Group Discussions on selected themes.</li> </ul>	150,000
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3	Benchmarking International Best Practices and Trends	1. A series of thematic closed-door meetings and public seminars.	<ul style="list-style-type: none"> <li>▪ Identification and engagement of international experts / resource persons to share global best practices, trends and lessons learned and challenge in similar upper middle income economies from around the world;</li> <li>▪ Collaborate with EPU in organising a series of thematic closed-door and public seminars.</li> </ul>	<b>180,000</b>
4	Capacity Building and Institutional Strengthening	1. 11MP Report Writing Course	<ul style="list-style-type: none"> <li>▪ Design a customised training and capacities building workshop for selected staff of EPU with the aim of enhancing writing skills and visual presentation of development oriented information and enhance the advocacy of the key messages of the 11MP.</li> </ul>	<b>70,000</b>
<b>Total Budget</b>				<b>500,000</b>



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